



VKH DMJQP HQVRI D FRXUVH JUDGH E\ D VXEWDQMDXQJH DMRQDEGH DQG  
XQDQQRXQFHG GHSDUWHU IURP VKH LQWVKFRUJ\ SUHYRXVO DUNFXDNG WDQGDUGV

' 3\$ \* UDGQJ HUIRU LV D P DMHP DMFDOP LVFDGXDMRQRI D ILQDQJUDGH RUDQ LQDFFXUDM  
UHFRUGQ RI VKH ILQDQJUDGH GRWDQ HUIRULQMGJPHQW

( \$ FOW GDA LV DQ GDA RI VFKHGXDIG LQWVKFRUJ H\ FQXGQJ 6 DMUGDA DQG 6 XQGEDA  
LQFQGHGRQ VKH DFDGHP LF FDQGGDULQ HUIFDVWKH VP H RI D UHMHZ DV GHILQHGLQ  
XQYHUVWUHU XDMRQV 5 ) LQ

7 KH LQWKFVRLV UHVSQMEØI IRUQRWA IQJ WKH WAGHQWQZ UMQJ RI WKH LQWKFVRLV  
IICDOMGJHP HQVFRGFHUCQJ WKH JUDGH IQTXHMVRQZ LMLQ FOW GD V RI UHFHSVRI  
WKH UHTXHWWDCG IRUSURP SVØ VXEP LWMQJ WKH DSSURSUDM FKDQJH RI JUDGH IRUP VR  
WKH 5 HJLVWUDJ/2 IILFH IL DQHUURRFFXUHG ,I WKH GHFLVRQZ DV QRVAR FKDQJH WKH  
JUDGH WKH LQWKFVRLV KDOQRWA WKH WAGHQWQZ UMQJ E\ FRP SOMQJ WKH IQIRUP DO  
SRUVRQ RQ WKH \$ SSHDORI \* UDGH IRUP

,I WKH WAGHQWGRHV QRWUHFHLYH D UHVSQMH IURP WKH LQWKFVRLV WKH UHTXUHG  
GHDCQCH WKH WAGHQWVKDQMHN WKH DMLWDCQH RI WKH FKDLURI WKH GHSUW HQV  
Z KLEK WKH FRXUWH Z DV RI IHUHG

,I WKH LQWKFVRLV QR ØQJ HUDQHP SØA HH RI WKH XQYHUWLV RULV RWHUZ LVH  
XQDYDLOEØI WKH WAGHQWVKDQMHN WKH DMLWDCQH RI WKH XQVGHSDUW HQVFKDLU  
Z KR Z IØP DNH HYHU HIRUVR FRQDFVWKH LQWKFVRLV WKH W FOW GD RI WKH  
GH VHU XDUVHP HMMU

D ,I WKH LQWKFVRLV FDQGRVEH FRQDFVW EXVFRXUWH UHFRLV DUH DYDLOEØI WKH  
GHSUW HQVFKDLU KDOØI HFVWUHVROVRQZ LMLQ FOW GD V RI GRVFDVRQ  
E\ WKH WAGHQW 7 KH GHSUW HQVFKDLUP D\ FRUHFVJUDGQJ HUURUVRXJK  
WKH UH XDUVFKDQJH RI JUDGH SURFHV RQ EHKØØRI WKH LQWKFVRLV

E ,I WKH LQWKFVRLV FDQGRVEH FRQDFVW DQG FRXUWH UHFRLV DUH HUWUHU  
XQDYDLOEØI RULQGHFLVYH WKH WAGHQW D\ UHTXHWW IRUP DOJYHYZ DV  
GHVFEHG IQ VHFVRQ % EHØZ

% ) RUP DOB URFHGXUHV

,I QRWUHVROHG IQ WKH IQIRUP DOSURFHV WKH UHP DLQJ RSVRQ LV E\ UHYHYZ IRUDØUHG DUEWDU  
DQG FDSUFRXV JUDGQJ RUIRULQWDCQH V Z KHUH WKH FRXUWH LQWKFVRLV XQDYDLOEØI DQG  
UHVROVRQ LV QRWRUVRFRP IQ IURP WKH DSSURSUDM GHSUW HQVFKDLU

7 KLV UHYHYZ LV LQWDCG E\ WKH WAGHQWVRXJK D VJQHG Z UMWQ UHTXHWWVR WKH  
GHSUW HQVFKDLUZ LK D FRS\ VR WKH GHDC RI WKH FRØUH RUVFKRRQZ KLEK WKH  
FRXUWH Z DV RI IHUHG

D 7 KH WAGHQW UHTXHWWRUUHYHYZ VKDOEH VXEP LWMG XVLQJ XQYHUWLV IRUP V  
VSHFLLFDØ GHMJ QHG IRUWLV SXLSRVH DQG DYDLOEØI RQCH DW  
[KWS\\_ZZZ\\_XDI\\_HGX\\_ILOV\\_SURYRWQHZ\\_BJUDGH\\_BDSSHØØRUP\\_SG](#)

E 7 KH WAGHQWFRP SOMM WKH JUDGH DSSHØØFKHFNDWDCG VXEP LV WKH \* UDGH  
\$ SSHØØRUP DFNCRZ ØGJLQ WKH FRP SOMRQ RI WKH IQIRUP DOSURFHV

F 7 KH UHTXHWWRUUHYHYZ P XWEH UHFHLYHG E\ WKH GHDC GLUFRURI WKH  
FRØUH RUVFKRRQZ KLEK WKH FRXUWH Z DV RI IHUHG Z LMLQ FOW GD V RI  
FRP SOMRQ RI IQIRUP DOJUDGH DSSHØØSURFHV

G 7 KH UHTXHWWP XWGHMØØMH EDLV IRUWKH DOU DMRQ VKDND JUDGH Z DV WKH  
UHXRORI DUEWDU DQG FDSUFRXV JUDGQJ DQG P XWSUHVHQWKH UHØYDQW  
HYLGHQFH WKH SØA UHYLVRQ VXEFRP P LWMH VXJJHM D GHZ WMP SOMM VR  
P DNH LVHDMHU IRUWKH WAGHQWVR XQGHUWDCG Z KDWUWUWU DQG FDSUFRXV  
JUDGQJ LV DQG WKH WSHV RI HYLGHQFH VKDND RXØ DMLWDCP DNQJ WKHU  
FDMH

: LMLQ ILYH GDA V RI UHFHLSVRI VHX Z UMMQ UHTXH-MWRUJUHMEZ VHX GH-DQ GRVMEH VQ  
Z UMMQ VHX LQMKFVRUZ KR LVXHG VHX JUDGH DQG VHX GH-SDUW HQ/VFKDLURI VHX XQW  
VKDWD IRUP DOJUDGH DSSH-DOKDV EHHQ ILOIG DQG VXSSOH V DFRS RI VHX VHXGHQW  
UHTXH-MWRUD IRUP DOJUDGH DSSH-DOR VHX LQMKFVRU

7 KH LQMKFVRU VKDOEH LQYMWG VR UHVSROG LQ Z UMMQ VR VHX VHXGHQW DOU DMROV  
VHX LQMKFVRU UHVSROVH VKDOEH GUHFVWG VR VHX JUDGH DSSH-DQ FRP P LMMH

, I VHX LQMKFVRURI VHX FRXUHLV DOR VHX' HDQ RI VHX & ROUH VHX 3 URYRWZ LO  
GHMJQDM DGRVHU' HDQ Z LMLQ VHX 8 QYHUW VR DFVDV VHX FROUH VHX P RQVRURI DO  
SURFHGQJV

\$ UHMEZ FRP P LMMH Z LOEH DSSRQMG DV IROZ V

D 7 KUHH IDFXOV P HP EHV KROQJ DFDGHP IF UDN Z KR DUH UHSUHVQMG  
VHX RJK VHX FXUHQW DSSOFDEH FROFVYH EDJ DQJQJ DUUHP HQW TURP VHX  
) DFXOV 6 HQDM DG KRF 6 VHXGHQW \$ SSHDQ & RP P LMMH 6 \$ & VKDOEH  
DSSRQMG E VHX 6 \$ & FKDLU 2 QH YRMQJ P HP EHV KDOVHUH DV FKDLURI  
VHX VHXGHQW DSSH-DQ FRP P LMMH

7KH DOUHGDVRO RI WLFW

